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J. Grange

GRUNECK 0006-US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: LENDLEIN *et al.* Examiner: To be assigned  
Serial No.: 10/511,019 Group Art Unit: 1711  
Filed: October 8, 2004  
For: Method of Achieving a Shape-Memory Effect on Hair  
Customer No.: 23719 Kalow & Springut LLP  
488 Madison Avenue, 19th Floor  
New York, New York 10022

May 18, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

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Substitute for form 1449/PTO

**(Use as many sheets as necessary)**

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**Complete if Known**

Application Number	10/511,019
Filing Date	18 October 2004
First Named Inventor	LENDLEIN, Andreas
Art Unit	1711
Examiner Name	To be assigned
Attorney Docket Number	13633PCTUS

## U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP2001005577, JPO Patent Abstr.	09-04-2002	BASF AG		
		JP 2001005577, Derwent Record	09-04-2002	BASF AG		
		WO 02/09655 A2 + A3	02-07-2002	L'OREAL		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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### **I. U.S. PATENT APPLICATION PUBLICATION**

<b>Patent No.</b>	<b>Date Issued</b>	<b>Title</b>
US 2003/0091602 A1 to WITTELER, <i>et al.</i>	May 15, 2003	Method of Treating a Cosmetic Composition by Irradiation With NIR Radiation, and Its Use

### **II. FOREIGN PATENT DOCUMENTS**

<b>Publication No.</b>	<b>Country</b>	<b>Publication Date</b>
JP 2001240512, JPO Abstr.	JP	4 September 2001
WO 02/09655 A2 & A3	WIPO	7 February 2002

### **III. NON PATENT PUBLICATIONS**

JP 20012450512, Derwent Record (2 pages)

Because no action has been taken on the merits, Applicants submit that no fee is due at this time. However, if a fee is deemed necessary, please charge Deposit Account No. 11-0171.

Respectfully submitted,



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